

# URBAN SUSTAINABILITY ASSESSMENT

**EURO PhD Summer School on MCDA/MCDM**

Chania, Greece

July 23 - August 3, 2018

**EURO**

THE ASSOCIATION OF  
EUROPEAN OPERATIONAL  
RESEARCH SOCIETIES



**EWG**  
MCDA

Group 7

# OUR PURPOSE



- Professionals in decision aiding
- Providing decision aiding services for our clients

## **Group 7:**

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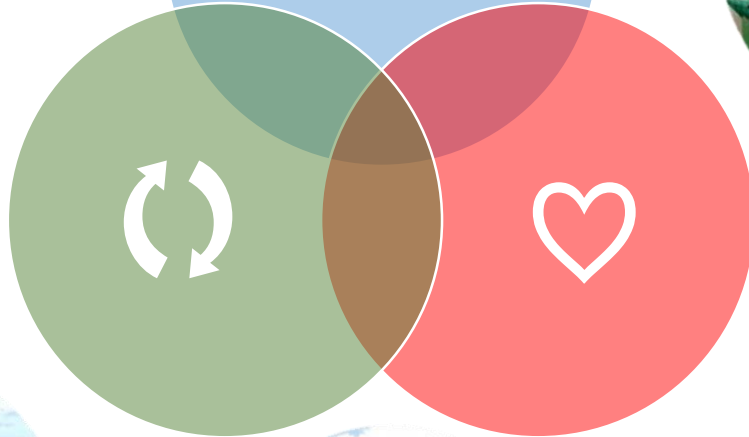
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Democritus University of Thrace, Greece

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# SUSTAINABLE CITIES



# MISSION

- Global Compact Cities Programme
- Influencing city governments (DM) to take action for more sustainable cities and urban areas
- Existing sustainability measurement schemes for cities provide multiple indicators
- Difficult for DMs to relate to multiple indicators and consider trade-offs when considering policy implementation and investment projects
- **Group 7s - mission:**
  - Assist in creating overarching sustainability dimensions
  - Aid in prioritization of criteria within dimensions
  - Make a global ranking of cities – providing DMs an overview of their sustainability performance

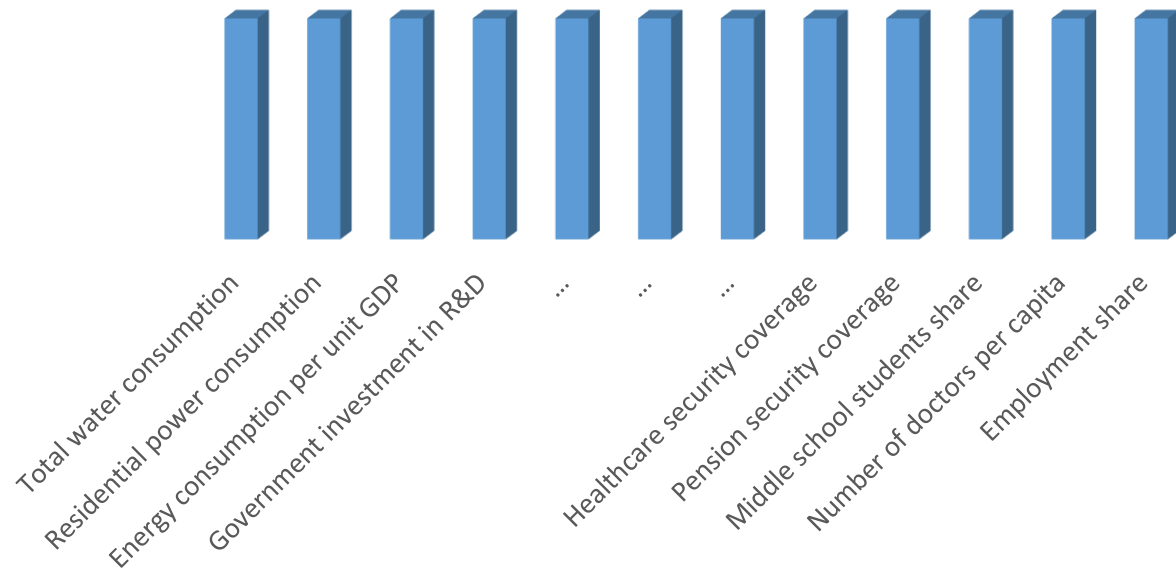


# GENERAL IDEA

## Part 1



Attributes



Original Data

ROC



Entropy

Pillars  
Subpillars

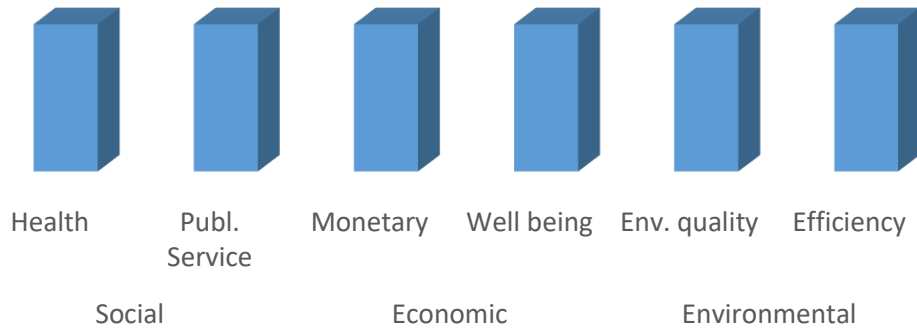


Performance Matrix

# GENERAL IDEA

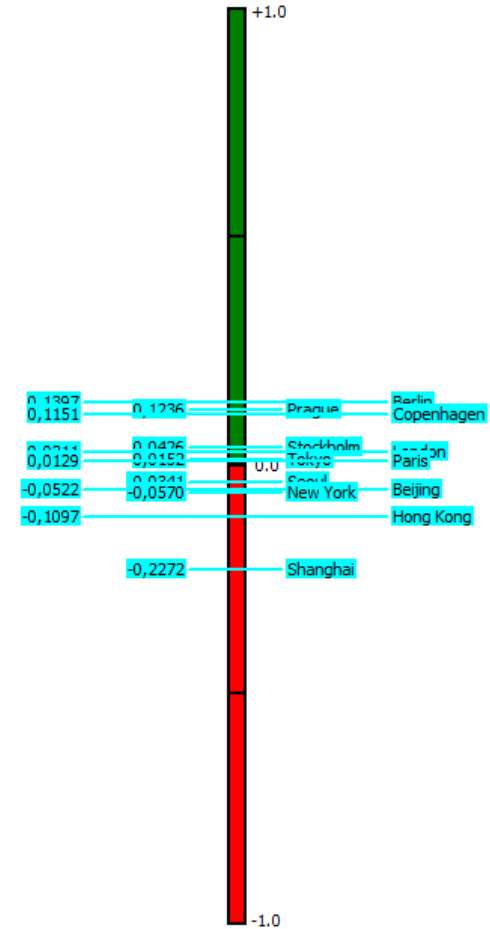
## Part 2

### Pillars Subpillars



Performance Matrix

PROMETHEE II



Ranking

# TAXONOMY



Pillar subpillar	dir	Social		Economic		Environmental	
		health	publ.service	monetary	well being	env quality	efficiency
Employment_share	↗				high		
Number_of_doctors_per_capita	↗	high					
Middle_school_students_share	↗				mid		
Pension_security_coverage	↗				high		
Healthcare_security_coverage	↗	high					
Concentration_of_NO2	↘					mid	
Concentration_of_SO2	↘					mid	
Concentration_of_PM10	↘					mid	
Industrial_air_pollution_SO2	↘						high
Air_qualified_days_per_year	↗					high	
Wastewater_treatment_rate	↗		high				
Domestic_waste_treated	↗		high				
Urban_population_density	↗		mid				
Passengers_using_public_transit	↗		low				
Coverage_of_public_green_space	↗		low				
Public_water_supply_coverage	↗		high				
Household_access_to_Internet	↗		mid				
Disposable_income_per_urban_capita	↗			mid			
Service_share_in_GDP	↗			mid			
Government_investment_in_RandD	↗			high			
Energy_consumption_per_unit_GDP	↘						high
Residential_power_consumption	↘						high
Total_water_consumption	↘						high

# WEIGHTING ROC



- Rank Order Centroid (ROC) method

$$w(r_k)$$

$$w_1 \begin{cases} g_1 \\ g_2 \\ g_3 \end{cases}$$

$$w_2 \begin{cases} g_3 \\ g_4 \end{cases}$$

$$w_{\dots} \begin{cases} g_{\dots} \\ g_{\dots} \end{cases}$$

With:

$$w_1 > w_2 > w_{\dots}$$

$$w(r_k) = \frac{1}{n} \sum_{j=k \dots n} \frac{1}{j}$$



# WEIGHTING ENTROPY



Entropy is a measure that uses probability theory to measure the uncertainty of information. It shows that the more **dispersive the data**, the **more useful** the data is.

1. Entropy **value** from information

$$E_j = -K \sum_{i=1}^m r_{ij} \ln r_{ij}$$

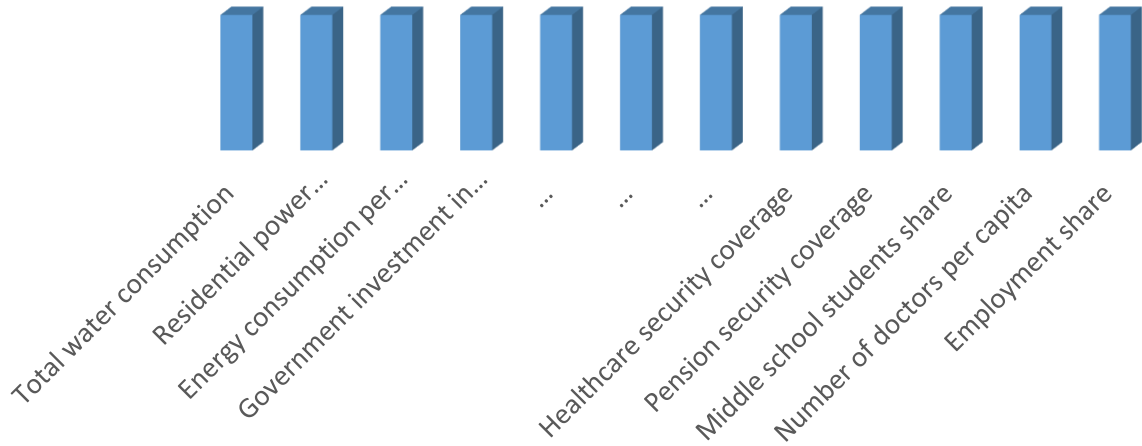
2. Difference degree

$$G_j = 1 - E_j$$

3. Entropy **weight**  $w$ :

$$w_j = G_j / \sum_{j=1}^m G_j$$

### Attributes



Normalized Attribute matrix

Beijing
Berlin
...
...
Tokyo

**Matrix A**

Attributes importance for each sub-pillar

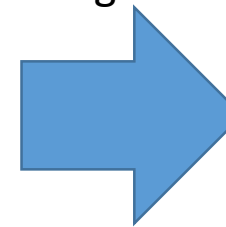
ROC

DM preference

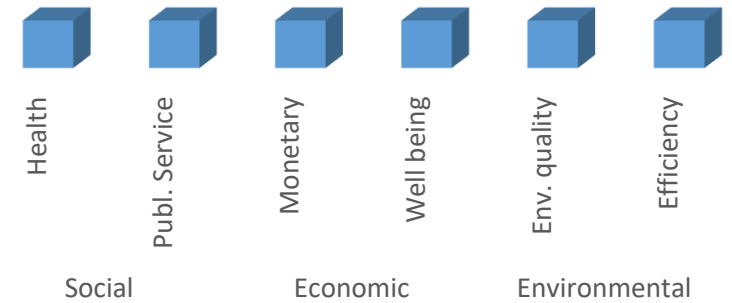
Entropy

Data driven preference

Aggregate weights



**Pillars Subpillars**



**Matrix B**

# AGGREGATED WEIGHTS



Pillar subpillar indicator	dir	Social		Economic		Environmental	
		health	publ.service	monetary	well being	env quality	efficiency
		w	w	w	w	w	w
Employment_share	↗				0.37		
Number_of_doctors_per_capita	↗	0.64					
Middle_school_students_share	↗				0.35		
Pension_security_coverage	↗				0.28		
Healthcare_security_coverage	↗	0.36					
Concentration_of_NO2	↘					0.23	
Concentration_of_SO2	↘					0.15	
Concentration_of_PM10	↘					0.16	
Industrial_air_pollution_SO2	↘						0.21
Air_qualified_days_per_year	↗					0.46	
Wastewater_treatment_rate	↗		0.15				
Domestic_waste_treated	↗		0.14				
Urban_population_density	↗		0.13				
Passengers_using_public_transit	↗		0.13				
Coverage_of_public_green_space	↗		0.10				
Public_water_supply_coverage	↗		0.14				
Household_access_to_Internet	↗		0.20				
Disposable_income_per_urban_capita	↗			0.24			
Service_share_in_GDP	↗			0.31			
Government_investment_in_RandD	↗			0.45			
Energy_consumption_per_unit_GDP	↘						0.23
Residential_power_consumption	↘						0.26
Total_water_consumption	↘						0.30

# PERFORMANCE MATRIX [P]



Normalized  
Attribute matrix

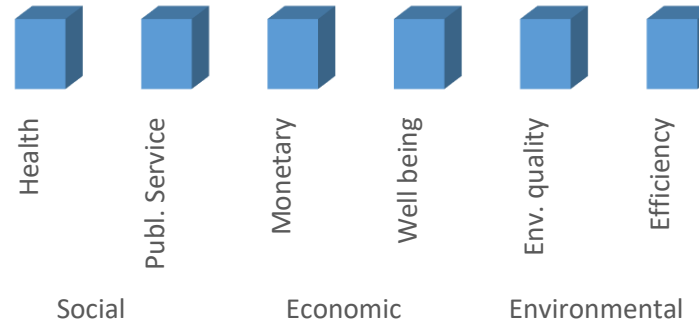
Aggregate  
weights

Subpillars

$$[P] = [A] \times [B] =$$

Beijing
Berlin
...
...
Tokyo

x



**Matrix A**

**Matrix B**

# PERFORMANCE MATRIX [P]

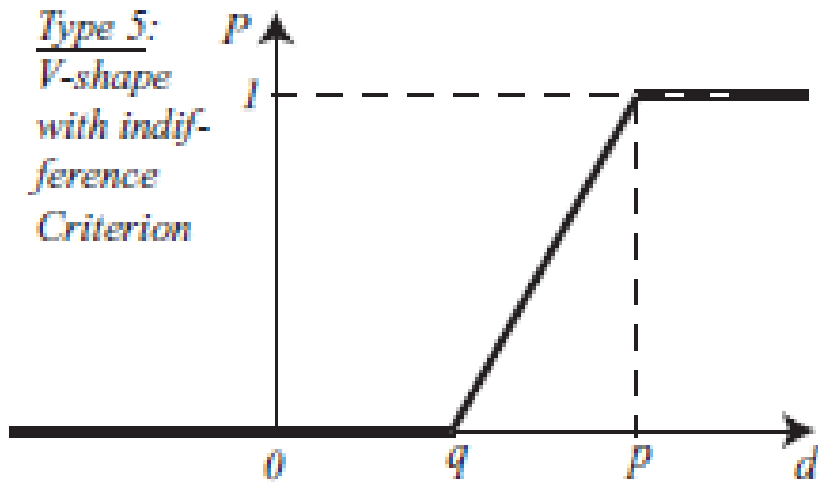


$$[P] = [A] \times [B] =$$

Pillar subpillar	Social		Economic		Environmental	
	health	publ. service	monetary	well being	env quality	efficiency
Beijing	0.2825	0.4737	0.8795	0.2147	0.5861	0.7291
Berlin	0.9915	0.7023	0.2698	0.6786	0.9245	0.6449
Copenhagen	0.7394	0.7963	0.6643	0.4796	0.7846	0.8218
Hong Kong	0.5000	0.4187	0.1848	0.4129	0.6682	0.7606
London	0.5764	0.6770	0.5034	0.5230	0.8599	0.7968
New York	0.4747	0.6340	0.1605	0.5408	0.7796	0.8741
Paris	0.9340	0.6801	0.1180	0.7500	0.1817	0.6753
Prague	0.9488	0.5967	0.5284	0.5051	0.9621	0.7333
Seoul	0.5385	0.7680	0.1843	0.4336	0.8425	0.7323
Shanghai	0.0032	0.3058	0.7765	0.0153	0.7213	0.4953
Stockholm	0.6535	0.8159	0.4411	0.5204	0.7608	0.7577
Tokyo	0.6063	0.7136	0.2426	0.5153	0.9245	0.8742

# PROMETHEE II

## V-Shape with indifference Criterion

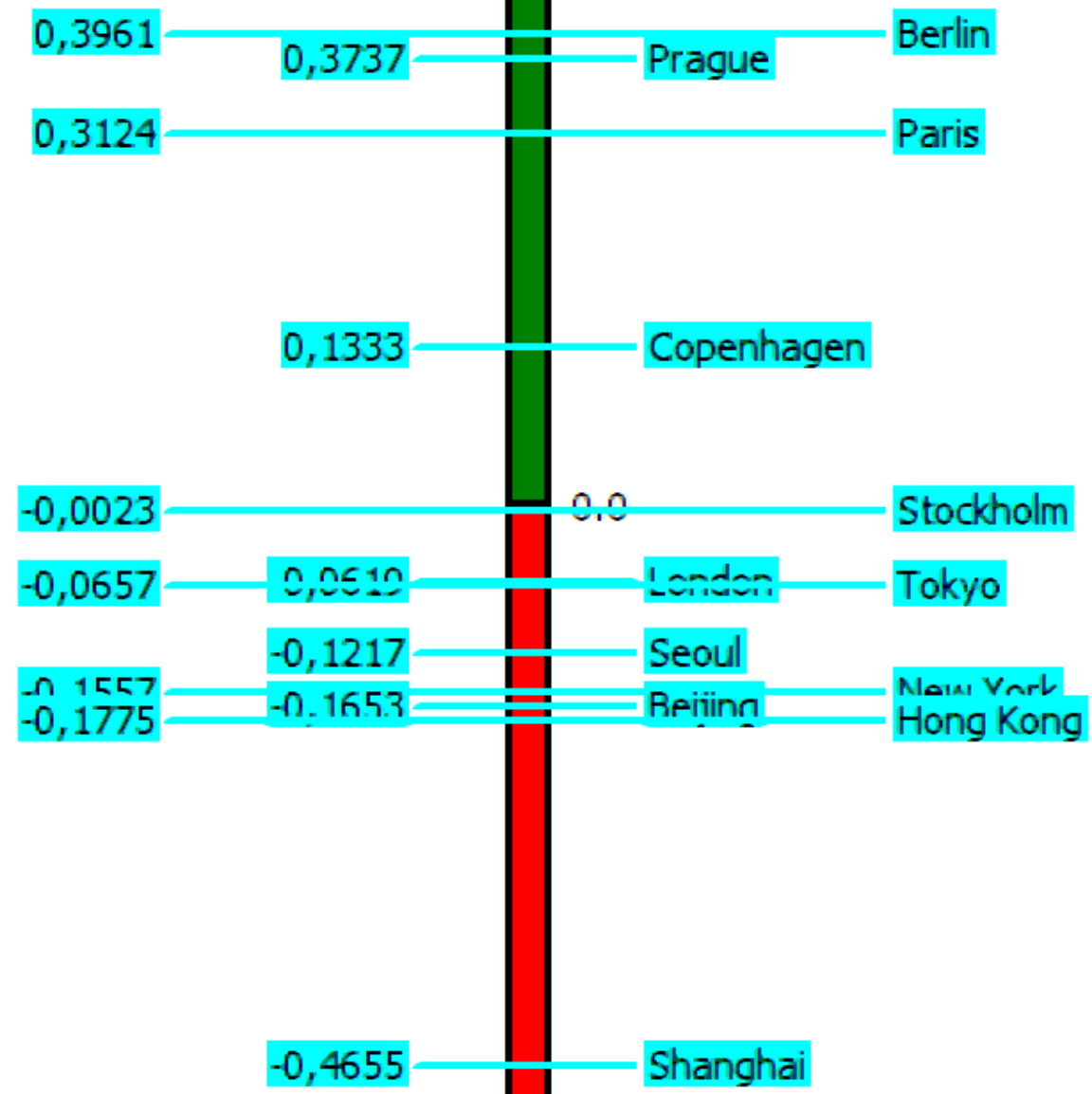
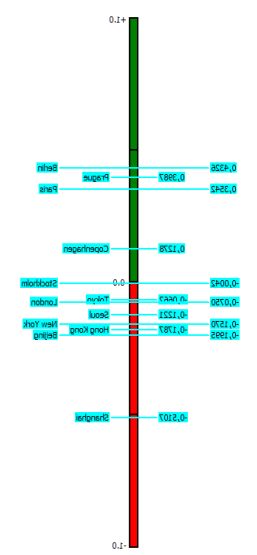


$$P(d) = \begin{cases} 0 & d \leq q \\ \frac{d-q}{p-q} & q < d \leq p \\ 1 & d > p \end{cases} \quad p, q$$



<b>Preferences</b>								
Min/Max		max	max	max	max	max	max	
Weight		1,00	1,00	1,00	1,00	1,00	1,00	
Preference Fn.		Linear	Linear	Linear	Linear	Linear	Linear	
Thresholds		absolute	absolute	absolute	absolute	absolute	absolute	
- Q: Indifference		0,1000	0,1000	0,1000	0,1000	0,1000	0,1000	
- P: Preference		0,8854	0,8256	0,6303	0,5363	0,9083	0,8155	
- S: Gaussian		n/a	n/a	n/a	n/a	n/a	n/a	
<b>Statistics</b>								
Minimum		0,0041	0,2584	0,1459	0,0216	0,3737	0,5529	
Maximum		0,9939	0,7894	0,8513	0,6834	0,9061	0,8647	
Average		0,5389	0,5576	0,4262	0,4132	0,7042	0,7278	
Standard Dev.		0,2826	0,1463	0,2205	0,1676	0,1651	0,0899	
<b>Evaluations</b>								
<input checked="" type="checkbox"/>	Beijing	<input type="checkbox"/>	0,2760	0,4192	0,8513	0,1951	0,4323	0,7552
<input checked="" type="checkbox"/>	Berlin	<input type="checkbox"/>	0,9939	0,5946	0,2951	0,6834	0,8943	0,6123
<input checked="" type="checkbox"/>	Copenhagen	<input type="checkbox"/>	0,6653	0,7301	0,6558	0,3859	0,7257	0,8101
<input checked="" type="checkbox"/>	Hong Kong	<input type="checkbox"/>	0,3579	0,3871	0,2352	0,3695	0,6478	0,7285
<input checked="" type="checkbox"/>	London	<input type="checkbox"/>	0,4560	0,5909	0,5261	0,4550	0,7248	0,7795
<input checked="" type="checkbox"/>	New York	<input type="checkbox"/>	0,3832	0,4890	0,1963	0,4572	0,7457	0,8647
<input checked="" type="checkbox"/>	Paris	<input type="checkbox"/>	0,9201	0,5871	0,1459	0,6456	0,3737	0,6596
<input checked="" type="checkbox"/>	Prague	<input type="checkbox"/>	0,9342	0,5088	0,5288	0,4220	0,9061	0,6961
<input checked="" type="checkbox"/>	Seoul	<input type="checkbox"/>	0,4267	0,6851	0,2353	0,4276	0,8004	0,6848
<input checked="" type="checkbox"/>	Shanghai	<input type="checkbox"/>	0,0041	0,2584	0,7293	0,0216	0,5801	0,5529
<input checked="" type="checkbox"/>	Stockholm	<input type="checkbox"/>	0,5551	0,7894	0,4166	0,4437	0,7252	0,7341
<input checked="" type="checkbox"/>	Tokyo	<input type="checkbox"/>	0,4944	0,6514	0,2992	0,4519	0,8943	0,8562


# RANKING















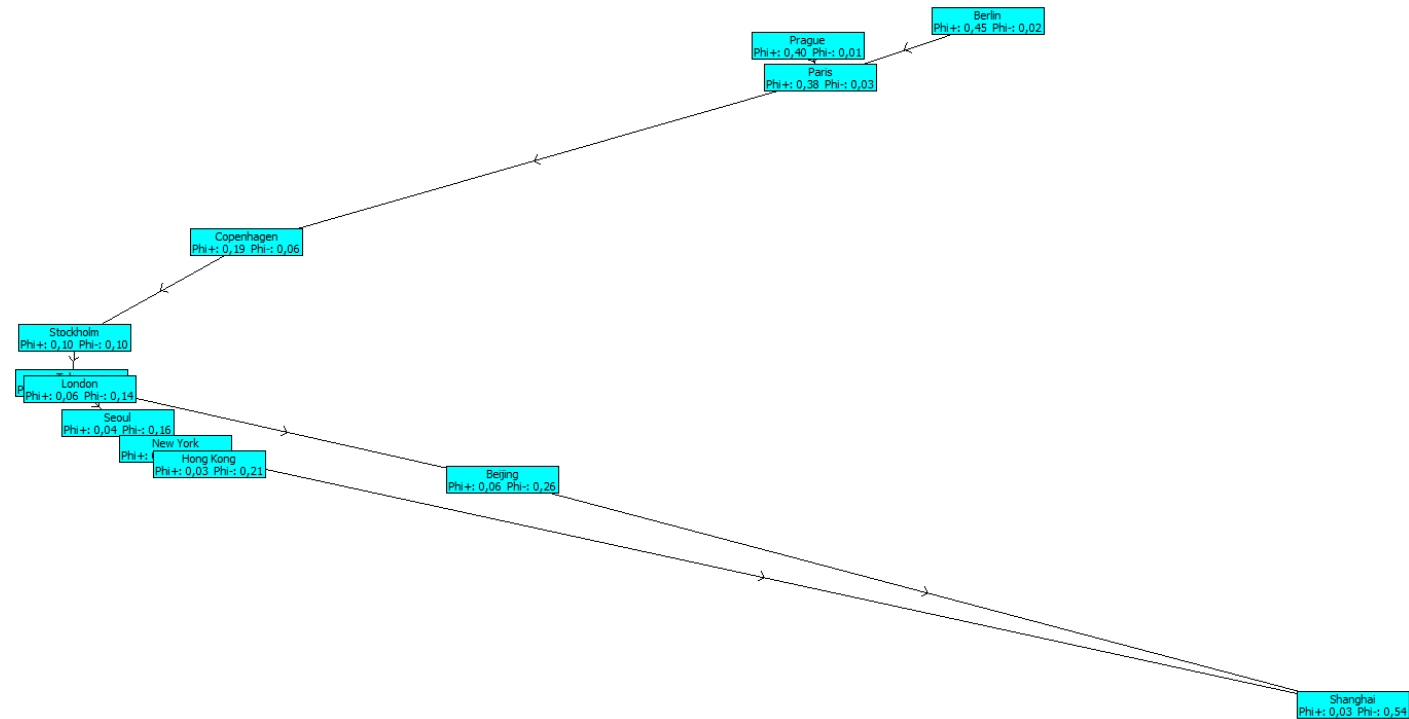


# PROMETHEE FLOW TABLE



 PROMETHEE Flow Table

Rank	action		Phi	Phi+	Phi-
1	Berlin		0,4326	0,4484	0,0159
2	Prague		0,3987	0,4048	0,0062
3	Paris		0,3542	0,3845	0,0303
4	Copenhagen		0,1278	0,1865	0,0587
5	Stockholm		-0,0042	0,0951	0,0992
6	Tokyo		-0,0662	0,0637	0,1298
7	London		-0,0750	0,0604	0,1354
8	Seoul		-0,1221	0,0425	0,1646
9	New York		-0,1570	0,0336	0,1906
10	Hong Kong		-0,1787	0,0277	0,2064
11	Beijing		-0,1995	0,0606	0,2601
12	Shanghai		-0,5107	0,0307	0,5414

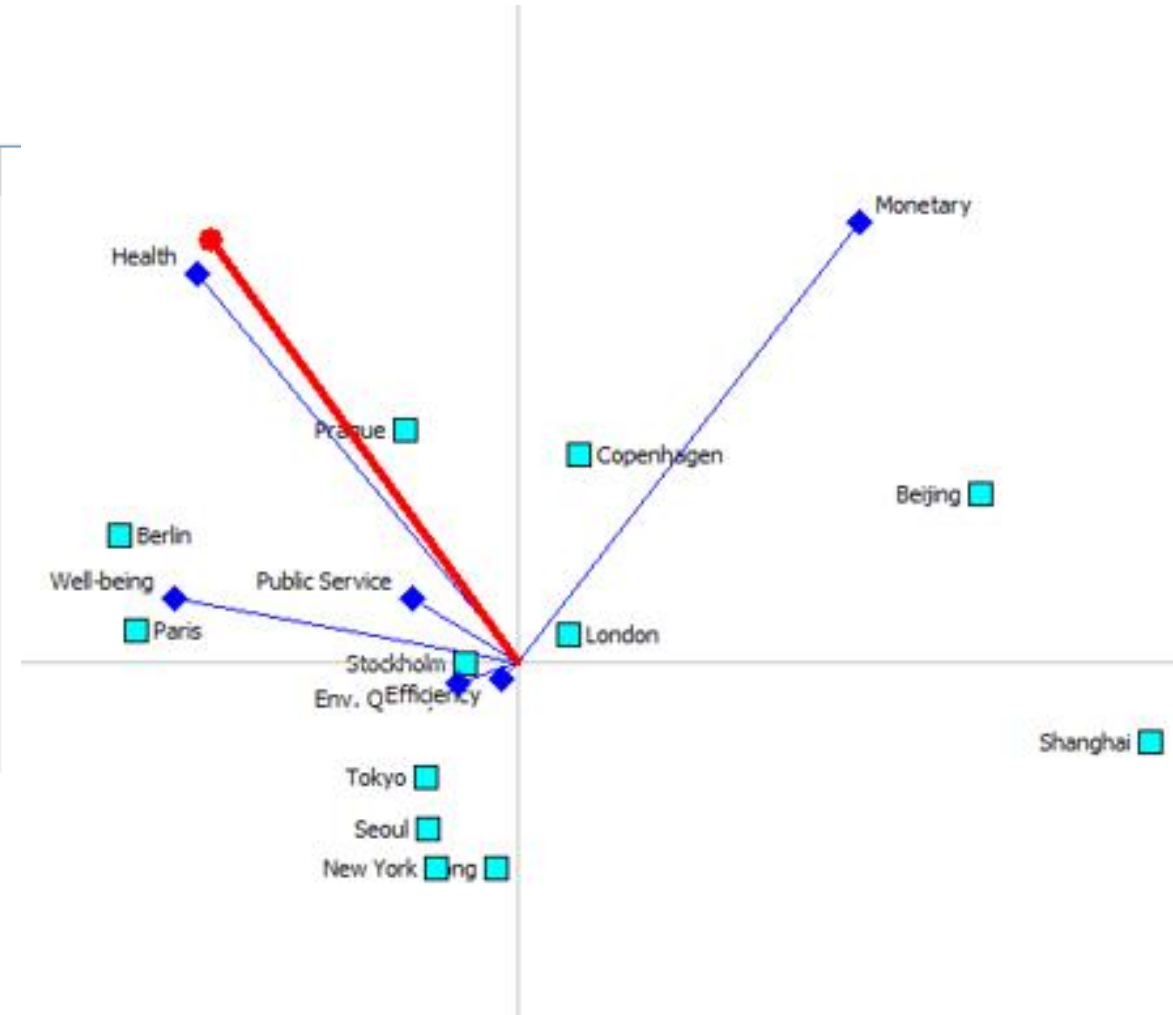


# PREFERENCE FLOWS - GAIA

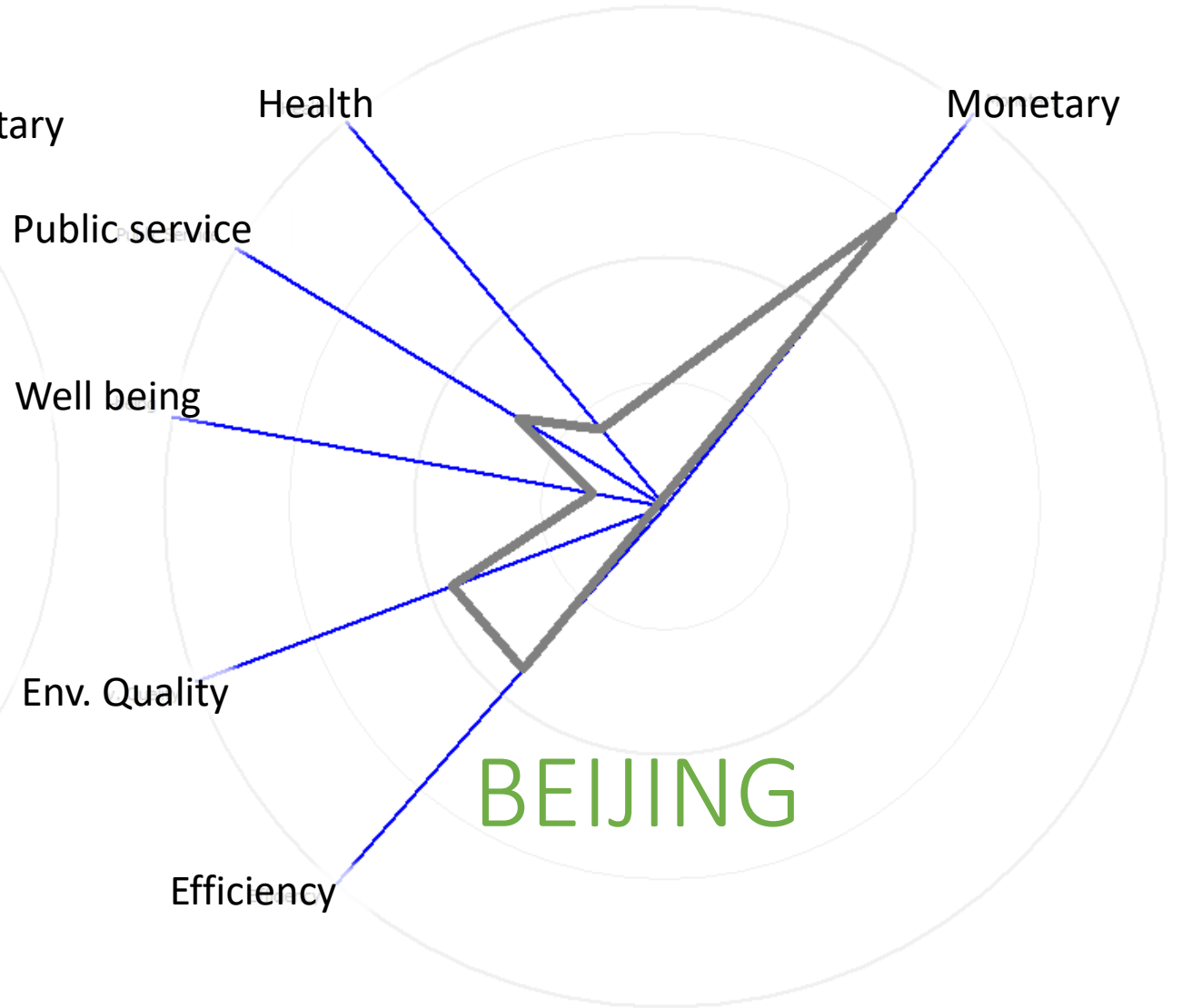
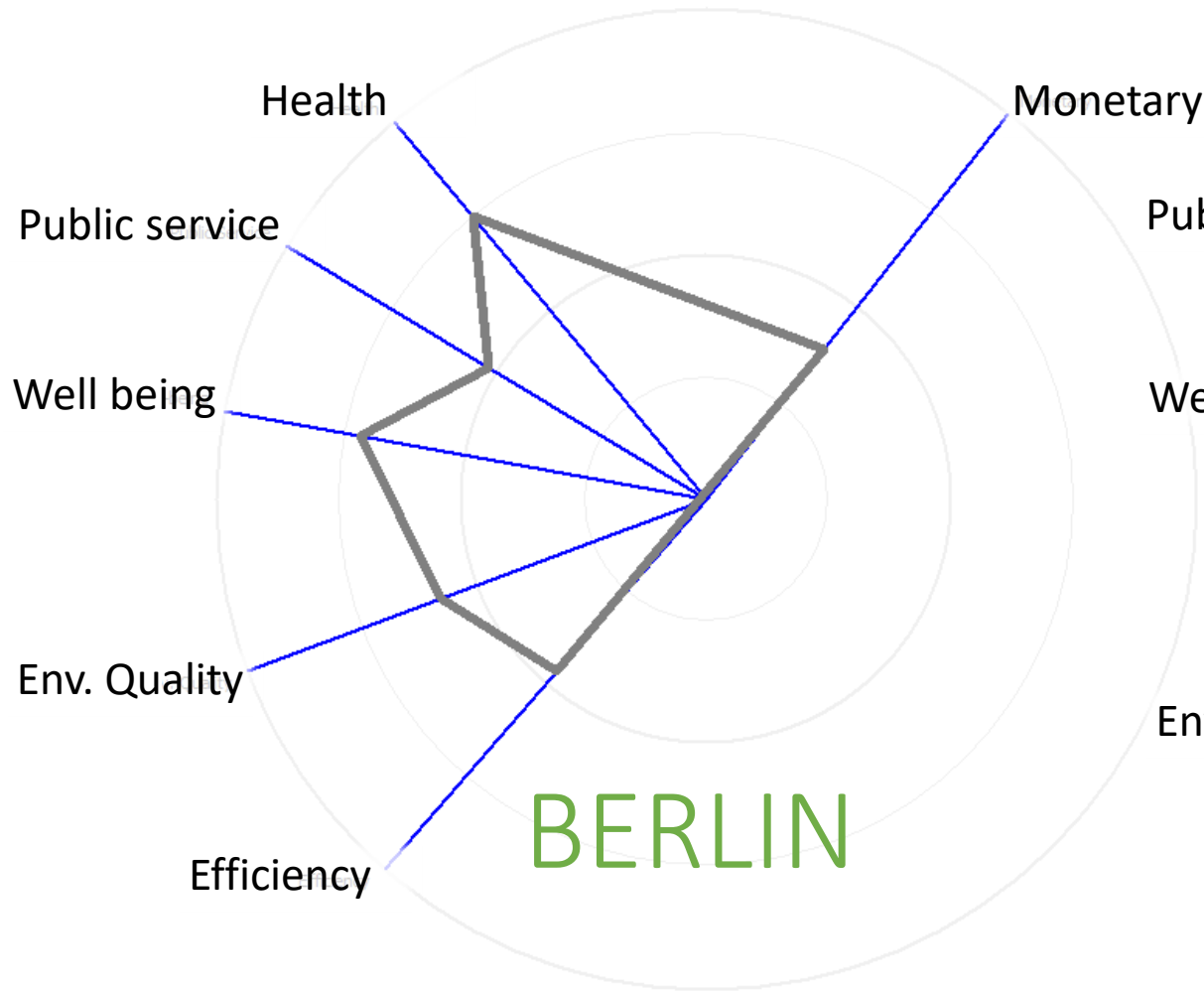


Preference Flows

	Health	Public	Monetary	Well-being	Env. Quality	Efficiency
Beijing	-0,2631	-0,1169	0,6687	-0,3578	-0,2611	0,0171
Berlin	0,5003	0,0369	-0,2208	0,4332	0,1574	-0,0689
Copenhagen	0,1177	0,1511	0,3477	-0,0004	0,0308	0,0438
Hong Kong	-0,1808	-0,1521	-0,2909	-0,0141	-0,0241	0,0035
London	-0,0993	0,0341	0,1373	0,0572	0,0301	0,0272
New York	-0,1594	-0,0470	-0,3271	0,0590	0,0442	0,0882
Paris	0,4319	0,0315	-0,3874	0,3623	-0,3270	-0,0337
Prague	0,4450	-0,0312	0,1419	0,0297	0,1686	-0,0126
Seoul	-0,1229	0,1076	-0,2908	0,0343	0,0857	-0,0184
Shanghai	-0,5947	-0,3120	0,4737	-0,7055	-0,0924	-0,1321
Stockholm	-0,0076	0,2158	-0,0370	0,0477	0,0304	0,0064
Tokyo	-0,0671	0,0823	-0,2154	0,0546	0,1574	0,0796



# COMPARISON





# THANK YOU FOR YOUR ATTENTION!

## QUESTIONS?

